IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Tomohisa Sakurai, et al. Examiner: Unassigned

Serial No: Unassigned Art Unit: Unassigned

Filed: Herewith Docket: 14243ZA

For: ULTRASONIC OPERATION Dated: February 9, 2004

APPARATUS FOR PERFORMING FOLLOW-UP CONTROL OF

RESONANCE FREQUENCY DRIVE OF

ULTRASONIC OSCILLATOR BY DIGITAL PLL SYSTEM USING DDS (DIRECT DIGITAL SYNTHESIZER)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

- 1. U.S. Patent No. 6,569,109, dated May 27, 2003, issued to Sakurai, et al.;
- 2. U.S. Patent No. 5,957,850, dated September 28, 1999, issued to Marian, Jr. et al.;

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Commissioner for Patents P.O. Box 1450, Alexandria, VA 22313-1450 on February 9, 2004.

Dated: February 9, 2004

Steven Fischman

3. U.S. Patent No. 5,505,203, dated April 9, 1996, issued to Deitrich et al.;

4. U.S. Patent No. 4,973,876, dated November 27, 1990, issued to Roberts;

5. U.S. Patent No. 4,965,532, dated October 23, 1990, issued to Sakurai;

6. U.S. Patent No. 4,794,933, dated January 3, 1989, issued to Yamazaki;

7. Japanese Patent Publication No. 2647713, dated May 9, 1997 together with an English language abstract; and

8. Japanese Unexamined Patent Publication No. 2691011, dated August 9, 1997 together with an English language abstract.

Applicants are not submitting copies of the above-cited references as copies were previously cited by the Examiner or submitted in an Information Disclosure Statement filed in connection with parent serial number 10/414,984, filed on April 16, 2003 and on Form PTO-892 of the Office Action dated November 7, 2003.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,

Steven Fischman

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Docket Number (Optional) Application Number 14243ZA Unassigned INFORMATION DISCLOSURE CITATION Applicant(s) Tomohisa Sakurai, et al. (Use several sheets if necessary) Filing Date Group Art Unit Herewith Unassigned U.S. PATENT DOCUMENTS EXAMINER FILING DATE DOCUMENT NUMBER DATE NAME CLASS SUBCLASS INITIAL IF APPROPRIATE 6,569,109 05/27/03 Sakurai et al. 5,957,850 09/28/99 Marian, Jr. et al. 5,505,203 04/09/96 Deitrich et al. 4,973,876 11/27/90 Roberts 4,965,532 10/23/90 Sakurai 4,794,933 01/03/89 Yamazaki FOREIGN PATENT DOCUMENTS REF DOCUMENT NUMBER DATE Translation COUNTRY CLASS SUBCLASS YES NO 2647713 5/9/1997 Japan ✓ 2691011 8/29/1997 Japan OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) **EXAMINER** DATE CONSIDERED

Form PTO-A820 (als f rm PTO-1449)

not considered. Include copy of this form with next communication to applicant.

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and